
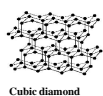


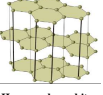
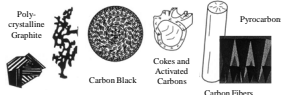

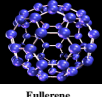
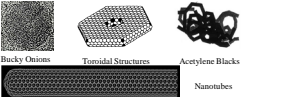

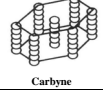


素子材料特論  
第2授業

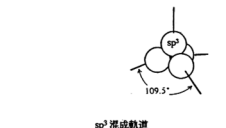
# Li-ion電池負極(I)

1. Li-ion電池および負極
2. 黒鉛系負極

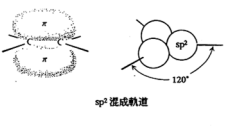
Bonding Hybridization	Allotropes	Derived and Defective Forms
 SP <sup>3</sup>	 Cubic diamond	 Diamond-like Carbon
 SP <sup>2</sup>	 Hexagonal graphite	
 SP <sup>2+e</sup> rehybridization	 Fullerene	
 SP <sup>1</sup>	 Carbyne	

Ref.) Bourrat, X. Structure in Carbons and Carbon Artifacts. In: *Sciences of Carbon Materials*. Marsh, H.; Rodriguez-Reinoso, F., Eds., Universidad de Alicante, 2000. pp1-97.

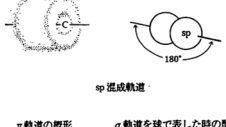
**Carbon Allotropes**



sp<sup>3</sup> 混成軌道



sp<sup>2</sup> 混成軌道



sp 混成軌道

π軌道の概形 (a)

σ軌道を球で表した時の配度 (b)

表-1 各種炭素-炭素結合の結合解離エネルギーと結合距離<sup>1)</sup>

化合物	結合解離エネルギー (kcal/mol)	結合距離 (Å)
H <sub>3</sub> C-C <sub>3</sub> H	88	1.53
H <sub>2</sub> C=C <sub>2</sub> H	163	1.34
HC≡CH	198	1.21

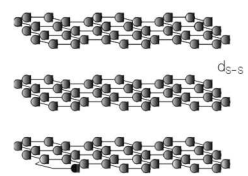
表-2 炭素同素体の種類<sup>2)</sup>

結合の種類	配位数	炭素同素体
sp	2	カルビン (ポリイン, クムレン)
sp <sup>2</sup>	3	グラファイト (六方晶, 層面体晶) フラレーン (C <sub>60</sub> , C <sub>70</sub> , バックキチューブなど)
sp <sup>3</sup>	4	ダイヤモンド (立方晶, 六方晶, 層面体晶*) ダイヤモンド多形体 (6H, bc-8*など) ダイヤモンドライクカーボン (DLC), i-カーボン
イオンまたは金属的	6	黒鉛立方晶*, β-スズ型*
	8	体心立方晶*
	12	面心立方晶*, 六方最密充填*

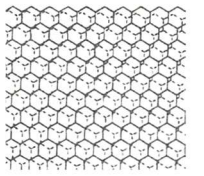
\* 実例 表-4 IV族sp<sup>3</sup>立方晶体の性質<sup>3)</sup>

性質	ダイヤモンド	β-SiC	Si
格子定数 (Å)	3.567	4.358	5.430
密度 (g/cm <sup>3</sup> )	3.515	3.216	2.328
熱膨張率 (×10 <sup>-6</sup> /°C)	1.1	4.7	2.6
融点 (°C)	4000	2540	1420
バンドギャップ (eV)	5.45	3.0	1.1
キャリア移動度 (cm <sup>2</sup> /V·s)			
電子	2200	400	1500
ホール	1600	50	600
熱伝導率 (W/cm·K)	20	5	1.5
硬度 (kg/mm <sup>2</sup> )	10000	3500	1000

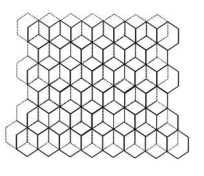
## Molecular structures of graphite



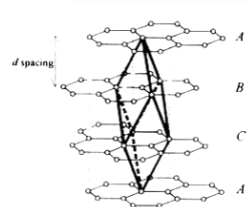
(a) Basic Structure of Graphite

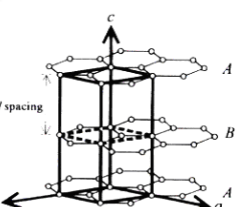


(b) Turbostratic structure (low crystallinity)



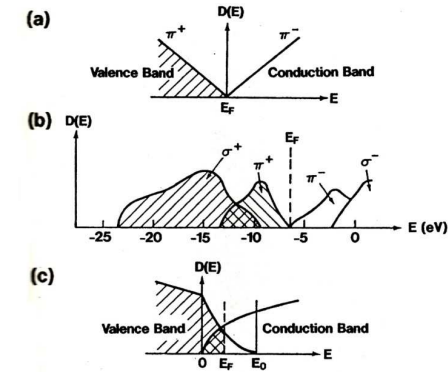
(c) Graphitic structure (high crystallinity)





## Characteristics of carbons

- Thermal stability
- High thermal and electric conductivities  
SWNT, Diamond : 4000 W/mK, K-11  
carbon fiber: 1100 W/mK
- Small heat expansion
- High thermal shock properties
- High chemical stability
- Abrasion and lubricant properties
- High mechanical properties



電子の状態密度  $D(E)$  のエネルギー  $E$  依存: 2次元黒鉛に対するフェルミエネルギー  $E_F$  近傍の  $\pi$  電子の状態分布 (a), 黒鉛の全エネルギー領域における電子状態分布 (b), および黒鉛の  $E_F$  近傍の  $\pi$  電子の状態密度分布 (c)

## Carbon is key element for Batteries !!

### ① Li-ion



[High capacity]

(+) : LiCoO<sub>2</sub>  
 (-) : Carbon(Graphite)  
 Conductor : Carbon

### ② Dry Battery



[Cheap]  
 [Easy Available]

(+) : MnO<sub>2</sub>  
 (-) : Zn  
 Conductor : Carbon

### ③ Ni-MH



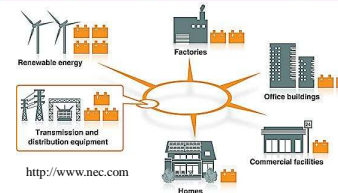
[High power]  
 [Total balance]

(+) : (Ni-Co)(OH)<sub>2</sub>  
 (-) : Mm(Ni-Mn-Al-Co)<sub>5</sub>  
 substrate: Nickel and Carbon

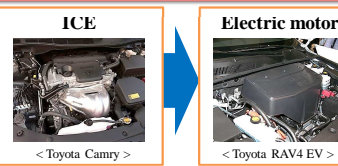
25/24

## Applications and necessity of Li-ion battery

### Energy storage system in smart grid

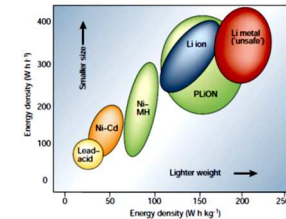


### Power source of electric vehicles



ICE : Internal combustion engine, ESS : Energy storage system

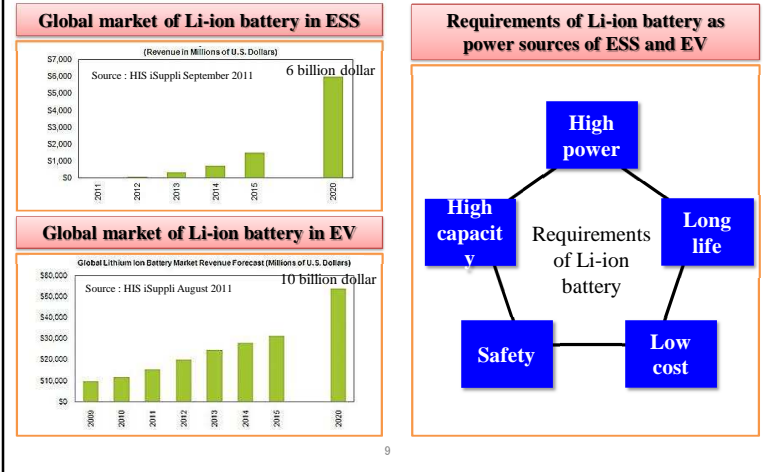
### Energy density of various rechargeable batteries



J.M. Tarascon, M. Armand, Nature 414 (2001) 359.

Li-ion battery is paid much attention as power sources of ESS and electric vehicles in a variety of rechargeable batteries.

## Global market and requirements of Li-ion battery



## Carbon Electrode for Li-ion Battery

- Graphite electrode is currently established.
    - Low cost with cheaper natural graphite
    - Limited capacity less than 372 mAh/g
    - Limited power density
- Larger power density for hybrid vehicle

  - ➔ Glassy carbon with small crystalline unit (Low Cond.)
  - Thinner carbon nanofiber
- Larger capacity

  - ➔ Glassy carbon with large inner surface
  - Si or Sn family (Large volumetric change at Ch/Disc)
  - ⇒ Functional nano-composites

## Roles of Carbon for Anode of Li-ion Batteries

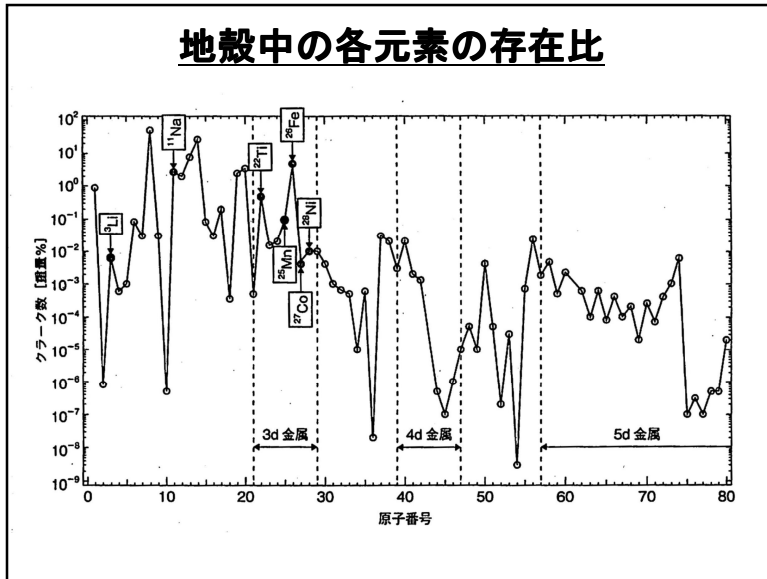
- Anodic Electrode to Hold Reduced Li-ion Intercalation → Graphite  
Surface Electron Transfer into Sealed Void → Carbon
- Electron Conductive Material  
Anodic Carbon and Cathodes Material
- Expansion Moderation  
Holding and Release of Ion Is Accompanied with Volumetric Charge  
Larger Capacity per Volume → Larger Expansion

## 電池負極物質

- 電池の中で還元剤として機能  
自身が酸化(イオン化)し、電解質に溶解することで負極は負に帯電する。活量=1の水溶液中、標準状態における標準水素電極に対するその電位は標準電極電位E0と呼ばれ、元素ごとに表に示す異なる値を取る。  
E0 = -ΔG/nF (イオン化傾向、真空中で陽イオンになりやすさ)

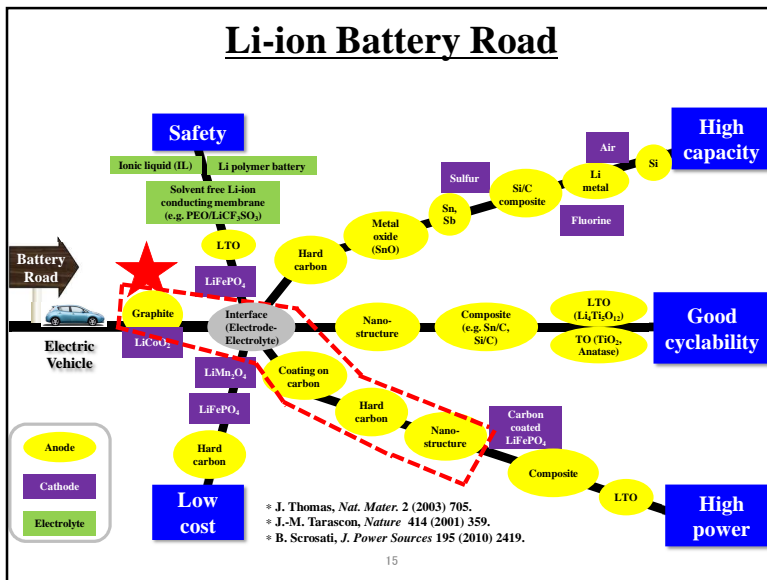
表1 代表的な金属元素の標準電極電位と第1イオン化エネルギーの相関

電極	放電反応	ギブスエネルギー	標準電極電位	イオン化エネルギー
Li	Li→Li <sup>+</sup> +e <sup>-</sup>	-293.31 kJ/mol	-3.045 V	520 kJ/mol
K	K→K <sup>+</sup> +e <sup>-</sup>	-283.27 kJ/mol	-2.925 V	419 kJ/mol
Ca	Ca→Ca <sup>2+</sup> +2e <sup>-</sup>	-553.58 kJ/mol	-2.866 V	590 kJ/mol
Na	Na→Na <sup>+</sup> +e <sup>-</sup>	-261.9 kJ/mol	-2.714 V	496 kJ/mol
Mg	Mg→Mg <sup>2+</sup> +2e <sup>-</sup>	-454.8 kJ/mol	-2.363 V	738 kJ/mol
Al	Al→Al <sup>3+</sup> +3e <sup>-</sup>	-485 kJ/mol	-1.662 V	578 kJ/mol
Zn	Zn→Zn <sup>2+</sup> +2e <sup>-</sup>	-147.06 kJ/mol	-0.763 V	906 kJ/mol
Fe	Fe→Fe <sup>2+</sup> +2e <sup>-</sup>	-78.9 kJ/mol	-0.44 V	759 kJ/mol
H	H→H <sup>+</sup> +e <sup>-</sup>	0 kJ/mol	0 V	1,312 kJ/mol
Cu	Cu→Cu <sup>2+</sup> +2e <sup>-</sup>	65.49 kJ/mol	0.337 V	745 kJ/mol
Ag	Ag→Ag <sup>+</sup> +e <sup>-</sup>	77.1 kJ/mol	0.7991 V	731 kJ/mol



### Li2次電池における負極材の研究動向

1. Li金属負極:
  - 還元力の強さが仇となり、殆どの電解液を還元分解してしまう問題点あり。
  - 還元の際、Dendrite結晶状として還元
  - モリエナジー(カナダ) 1989年、NTT形態で内部短絡事故
2. Carbon電極
  - 1991年SONYが採択、Li-ion電池化、世界初
  - C6Li, 372 mAh/g
3. Si, Sn系、チタニア系、バナジウム系...



#### Ch./Dis. Principle of Li-ion 2nd Batteries

#### Anodic Materials for Li-ion 2nd Batteries

	Carbon	Si alloys	Li alloys
Theoretical Cap.(mAh/g)	372 (LiC <sub>6</sub> )	4200 (Li <sub>15</sub> Si)	3860
Present Stage	Commercialized	Developing	Developing
Merit	Low Cost Good Cycle Life Good Chemical Stability	High Capacity	High Capacity
De-merit	Low Rate Capability	High Volume Expansion ⇒ Bad Cycle	Strong Reaction ⇒ Bad Cycle & Thermal Stability
Materials	Graphite, Soft/Hard carbon	-	-
User	Sanyo, Matsushita, STC, A&T Battery, Shin-Kobe, GS, Moli, Mitsubishi, Sony, SDD, Hitachi Maxcel, LG Chem.	-	-

#### Characteristics and materials of 2nd Batteries

Ref. KISTI, Materials for 2nd Batteries (2004/06)

	Ni-Cd	Ni-MH	Li-ion	Li polymer
Cathodic material	NiOOH	NiOOH	LiMO2	LiMO2
Anodic material	Cd	MH	Carbon	Carbon
Electrolyte	KOH/H2O	KOH/H2O	Lix/Organic Solution	Lix/Polymer electrolyte
Operating voltage(V)	1.2	1.2	3.6	3.6
Cycle	1000	1000	1200	1000
Self discharge rate (%/month)	-	20~25	< 10	< 10
Environmental pollutant	Yes	Yes	No	No
Energy density	-	-	Per weight (Wh/kg)	120
			Per volume (Wh/L)	240
Manufacturing company	Sanyo, Toshiba	Matsushita, Sanyo, Toshiba	Sony, Sanyo, Matsushita	Valence, Ultralife

### Mechanism of charge & discharge

**Cathode :**  $\text{LiCoO}_2 \leftrightarrow \text{CoO}_2 + \text{Li}^+ + 2\text{e}^-$

**Anode :**  $\text{C}_6 + \text{Li}^+ + \text{e}^- \leftrightarrow \text{LiC}_6$

**Overall :**  $\text{LiCoO}_2 + \text{C}_6 \leftrightarrow \text{CoO}_2 + \text{LiC}_6$

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### Carbon materials of LIB

	Precursor	Advantages	Disadvantages
<b>Graphite</b> (over 2800°C)	Natural / Artificial graphite MCMB, Needle cokes VGCF	Low discharge potential ( $\approx 0.2\text{V}$ ) Long cycle life	Low discharge capacity (372 mAh/g) Poor rate performance High cost
<b>Soft Carbon</b> Graphitizable carbon (600–800°C)	MCMB Meso phase pitch Green cokes	High capacity (700–1000mAh/g) Low cost	High discharge potential ( $\approx 1.0\text{V}$ ) High irreversible capacity Poor cycle stability
<b>Hard Carbon</b> Non-graphitizable carbon (1000–1400°C)	Thermosetting polymer Glassy carbon, Coal Organic material Stabilized isotropic pitch	High capacity (400–700mAh/g) High rate performance Low discharge potential ( $\approx 0.1\text{V}$ ) Low cost	Large irreversible capacity

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### Characteristics of Carbon Material

**HTT  $\leftrightarrow$  Resistance**

Ref.) Phys. Rev., 85, No. 4, 609-620 (1952)

**Li content  $\leftrightarrow$  d-spacing**

Ref.) Phys. Rev. B, 42, 6424-6432 (1990)

**HTT  $\leftrightarrow$  Capacity**

Ref.) Science, 270, 590 (1995)

**Structural mechanism of carbon**

Ref.) Proc. R. Soc. A209 (1951) 196-218

Ref.) Report of Kyushu Univ. 12 (1) (1998) 45-57

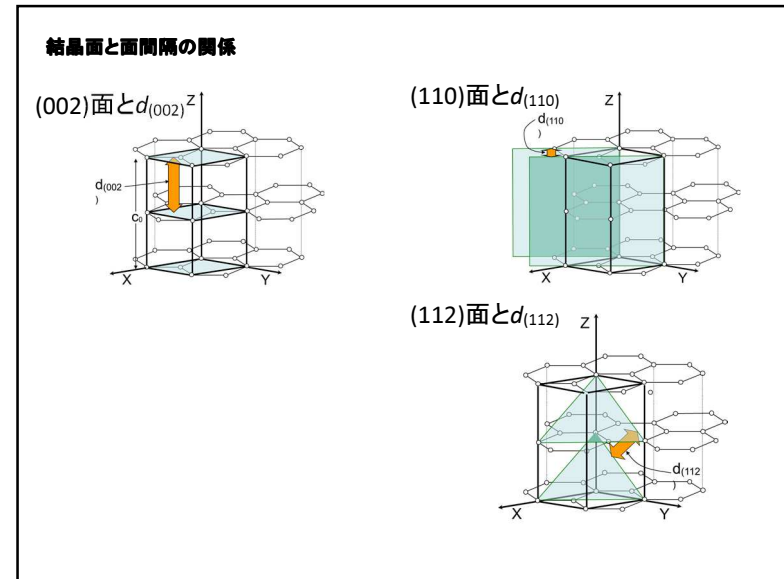
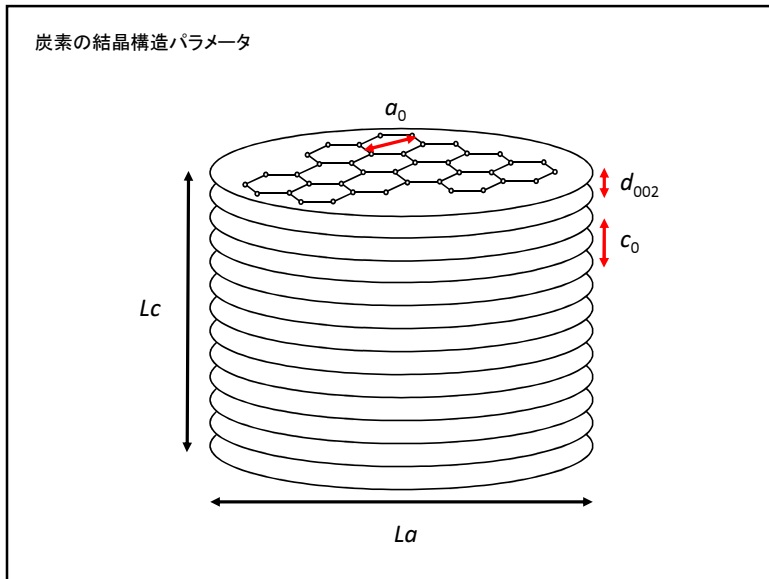
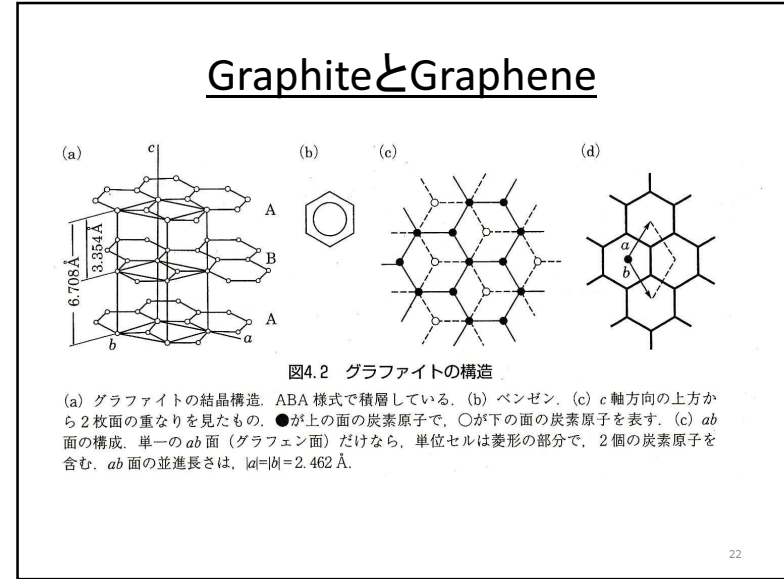
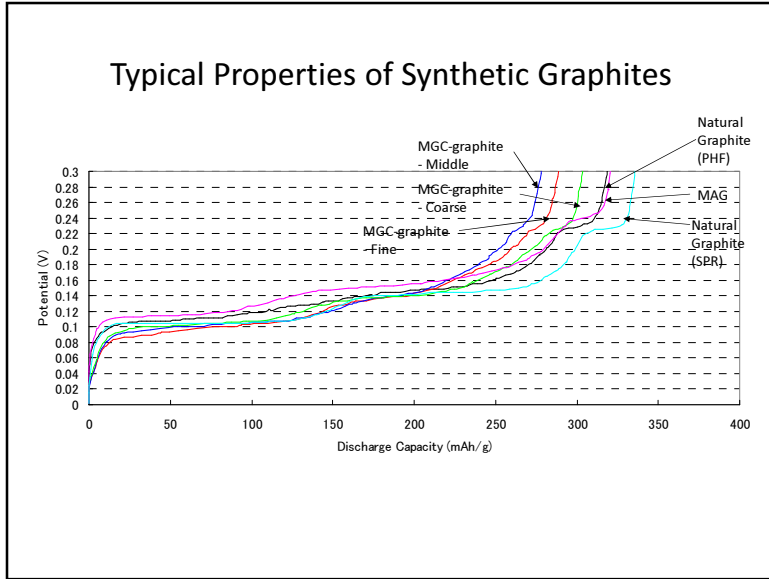
**Charge-Discharge Profile**

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### Lithium Ion Battery, Electrode

**Lithium ion insertion sites of carbon**

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## Graphiteの構造

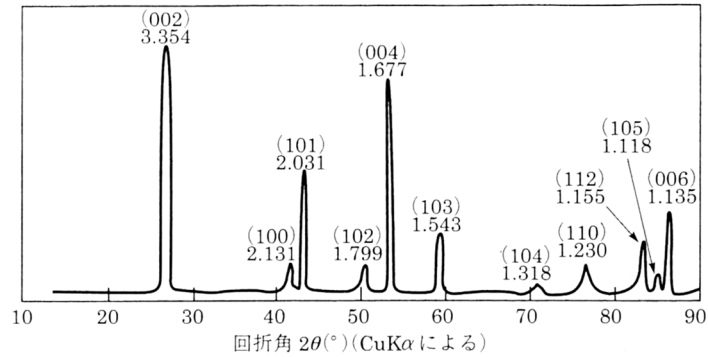
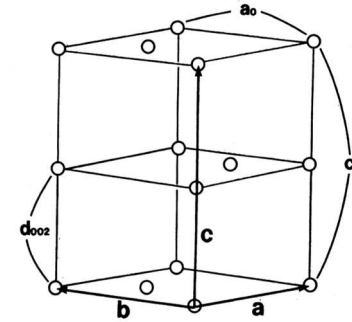


図4.4 グラファイトのX線回折パターン

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## 黒鉛の電子構造



最隣接原子間距離: 0.1421nm  
 第2隣接原子間距離: 0.2461nm  
 層間距離: 0.3354nm

黒鉛結晶の単位格子と格子定数 $a_0, c_0$ および基本格子ベクトル $a, b, c$

32

## Graphiteの反応性

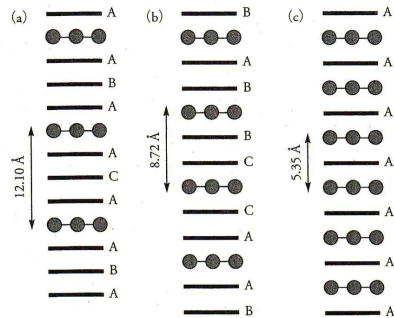
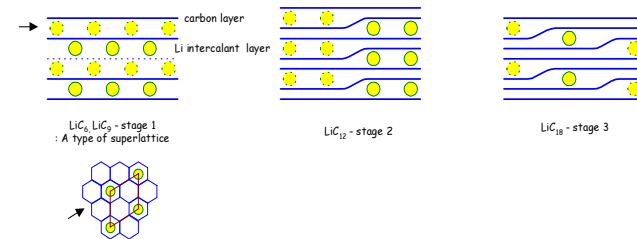


図4.6 カリウムをインターカレートとしたGICのステージ構造

実線はグラファイトの $ab$ 面で、A, B, Cはその積層様式、灰色の丸印はカリウム原子。  
 (a)  $KC_8$  (ステージ3), (b)  $KC_{24}$  (ステージ2), (c)  $KC_6$  (ステージ1)。

GICでは、 $HO$ バンドの頂上から電子が引き抜かれることによって正孔が注入されたり、 $LU$ バンドの底に余剰電子が与えられたりするので、フェルミ状態の密度が増加して導電性が上がる。

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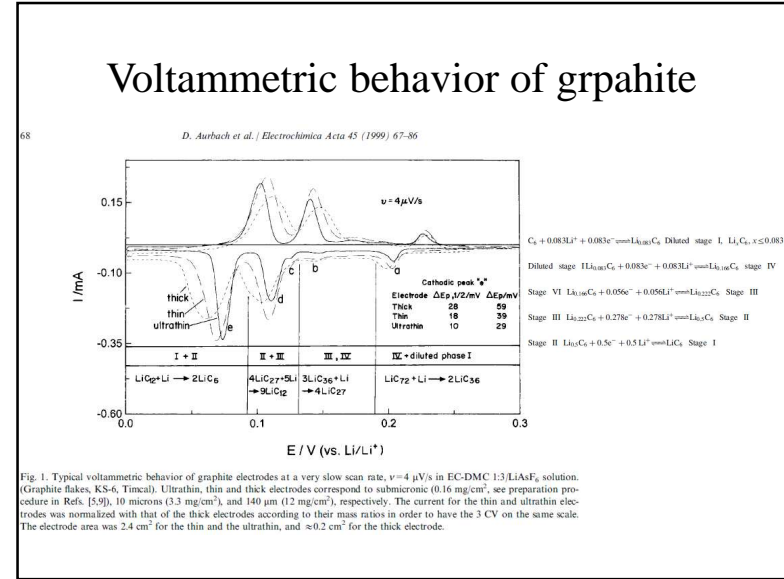
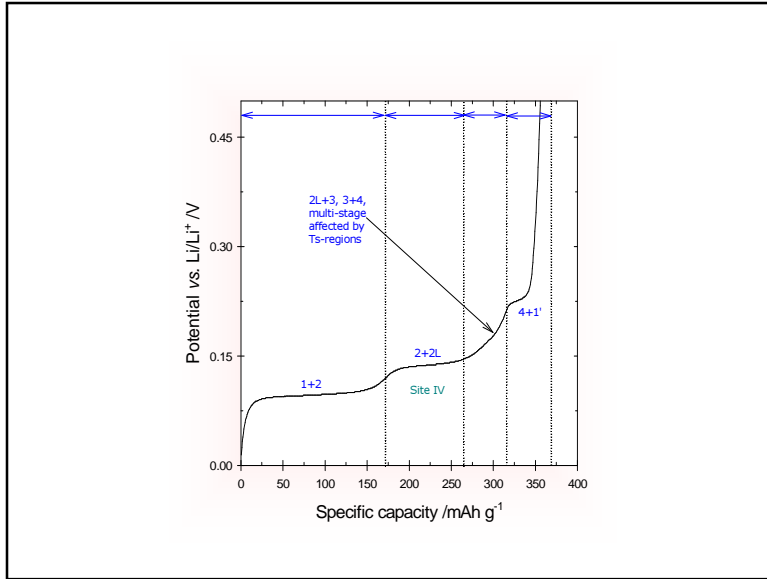
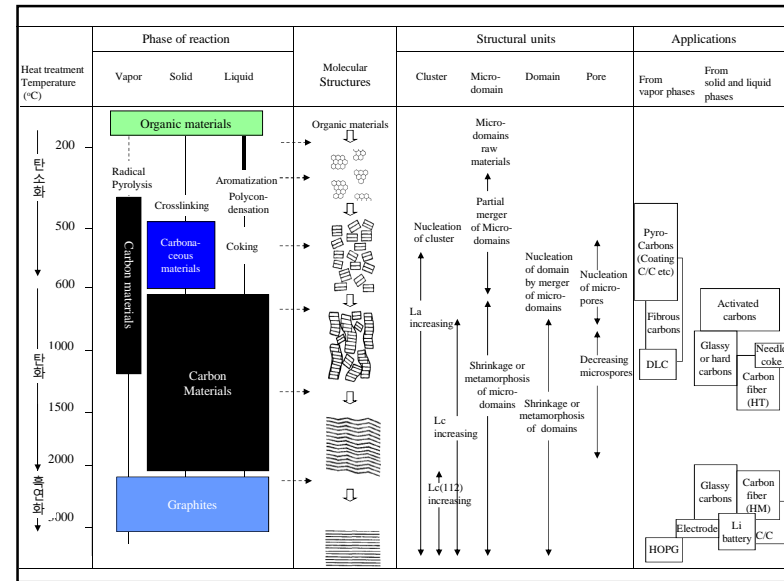
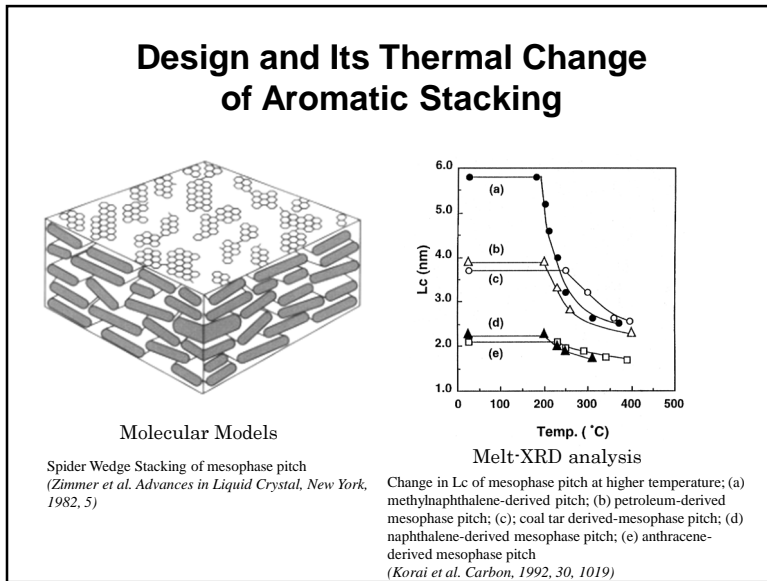
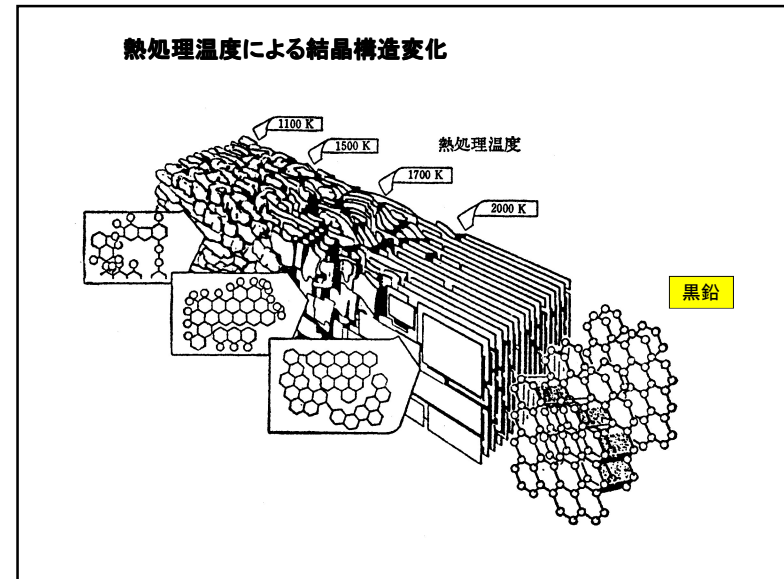
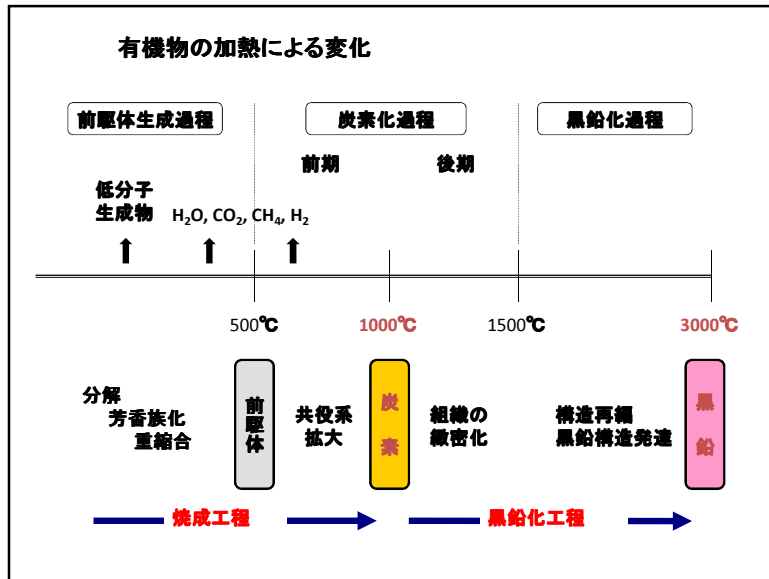
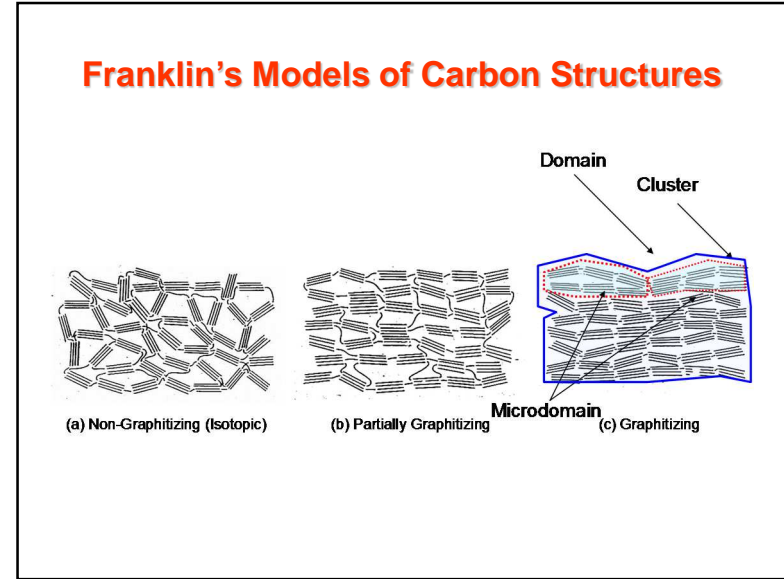
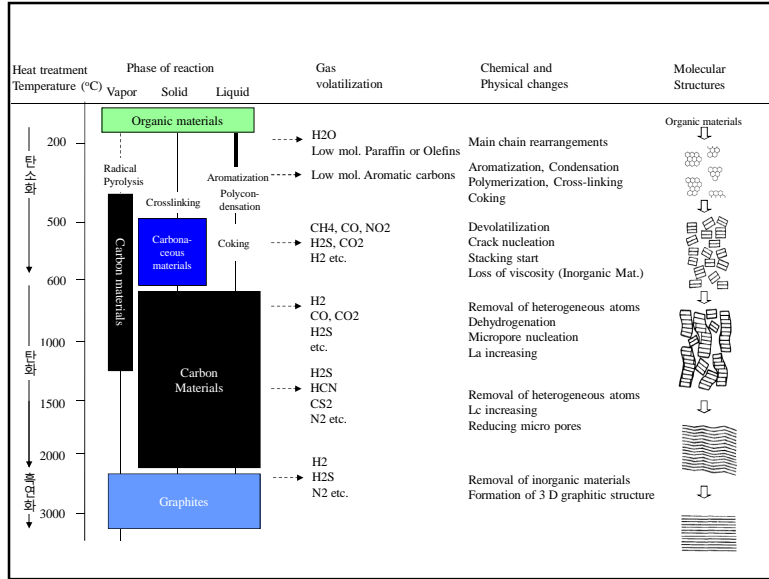
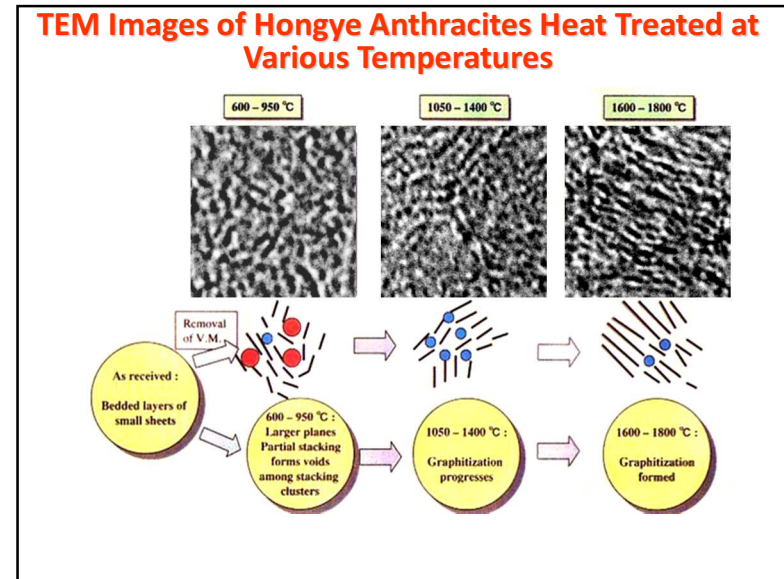
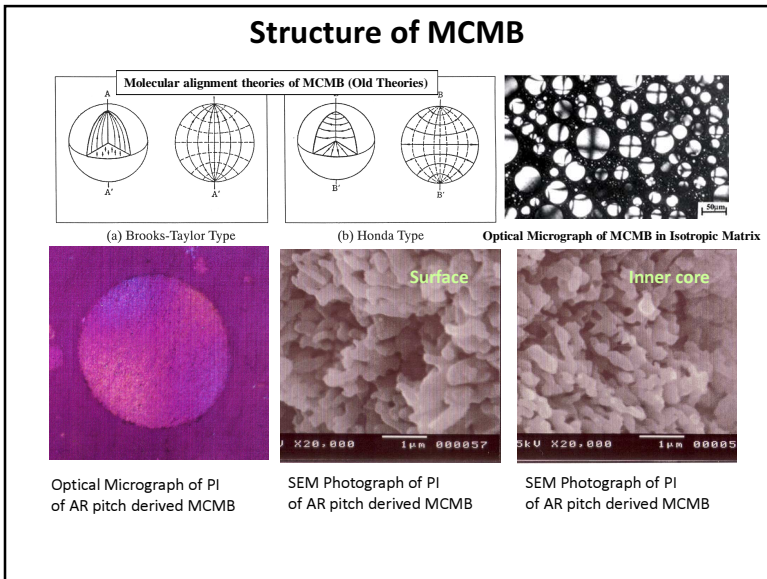
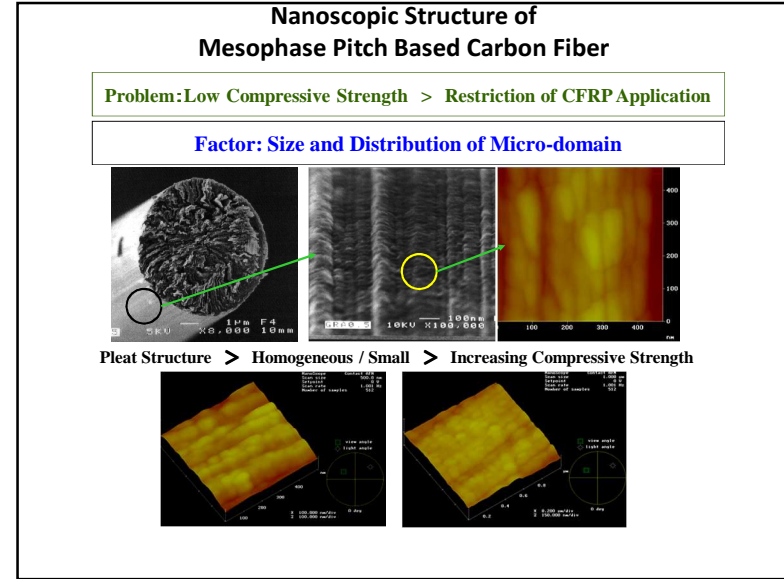
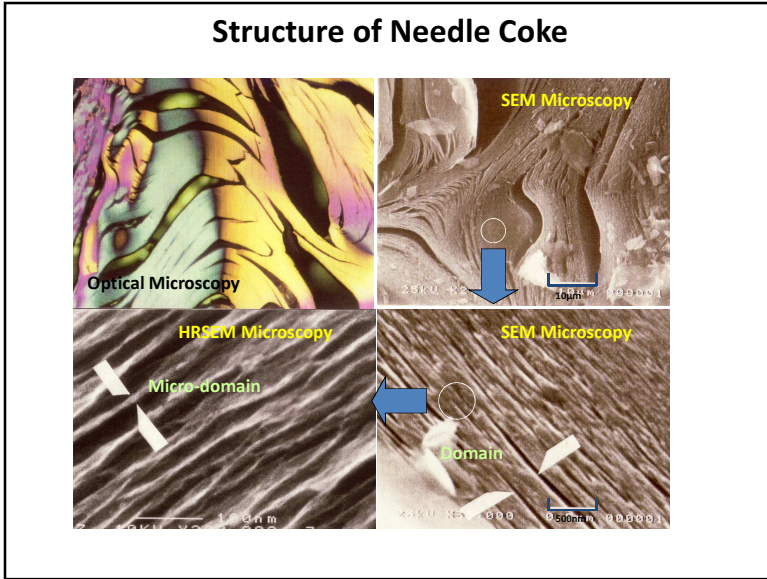


Fig. 1. Typical voltammetric behavior of graphite electrodes at a very slow scan rate,  $v = 4 \mu\text{V/s}$  in EC-DMC 1:3:LiAlF<sub>4</sub> solution. (Graphite flakes, KS-6, Tincal). Ultrathin, thin and thick electrodes correspond to submicronic (0.16 mg/cm<sup>2</sup>, see preparation procedure in Refs. [5,9]), 10 microns (3.3 mg/cm<sup>2</sup>), and 140 μm (12 mg/cm<sup>2</sup>), respectively. The current for the thin and ultrathin electrodes was normalized with that of the thick electrodes according to their mass ratios in order to have the 3 CV on the same scale. The electrode area was 2.4 cm<sup>2</sup> for the thin and the ultrathin, and ≈0.2 cm<sup>2</sup> for the thick electrode.

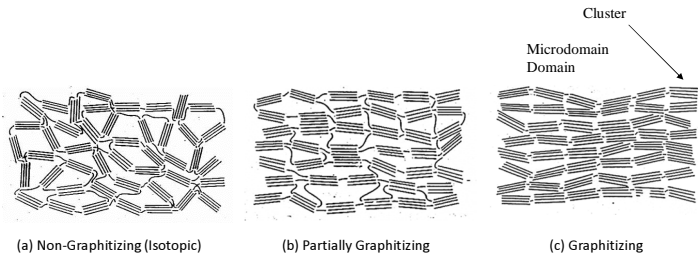




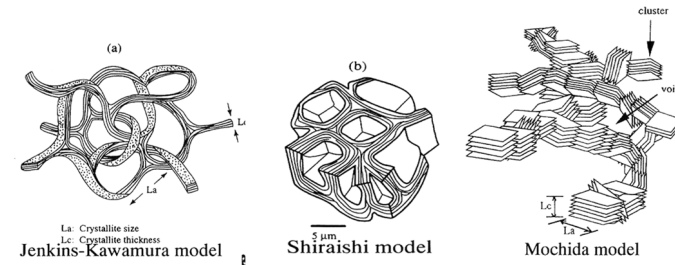




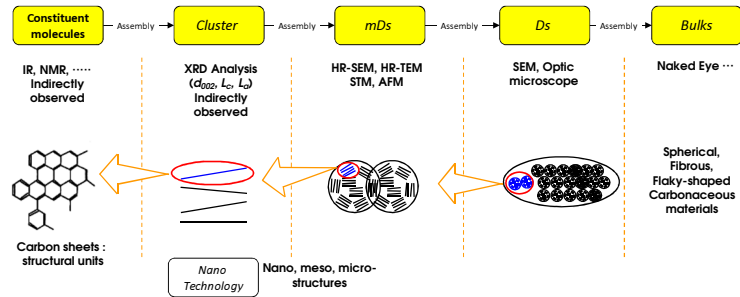
### Franklin's Models of Carbon Structures



### Structural Models of Glassy Carbon Heated at High Temperature

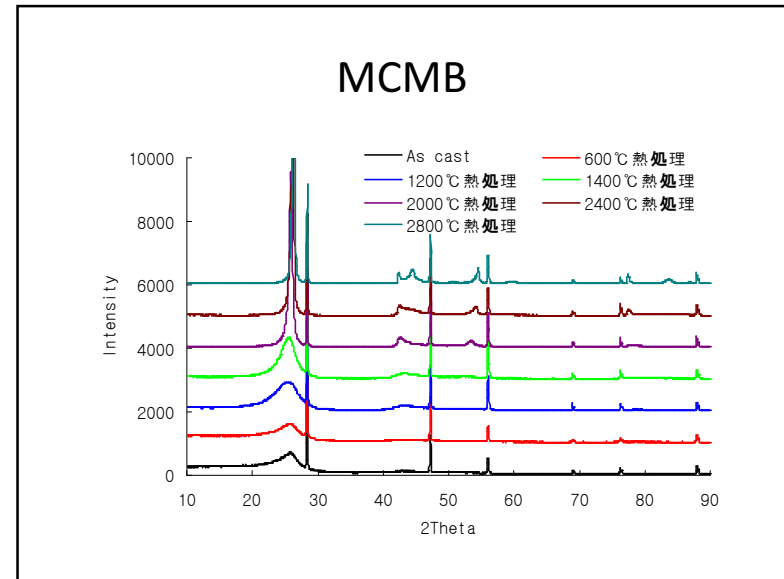
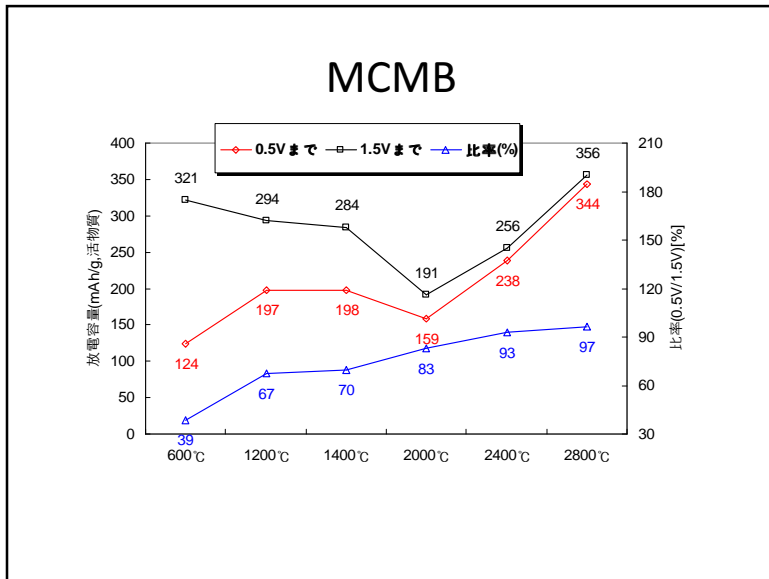
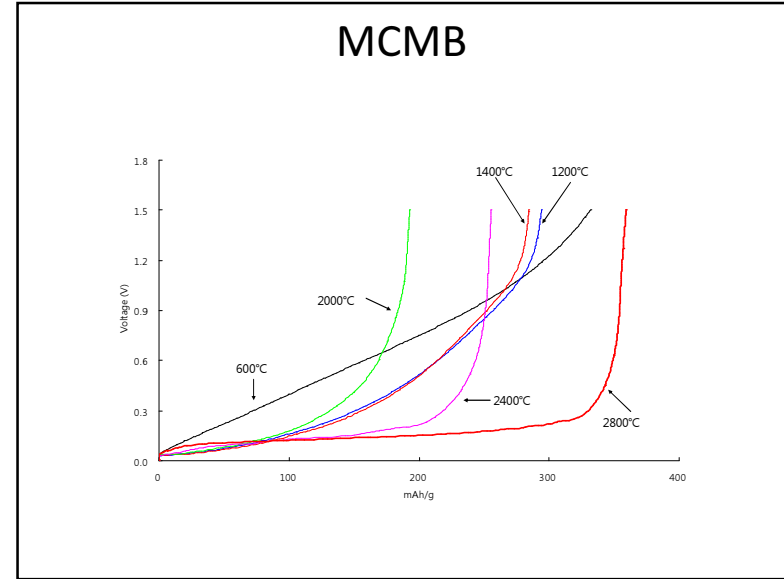
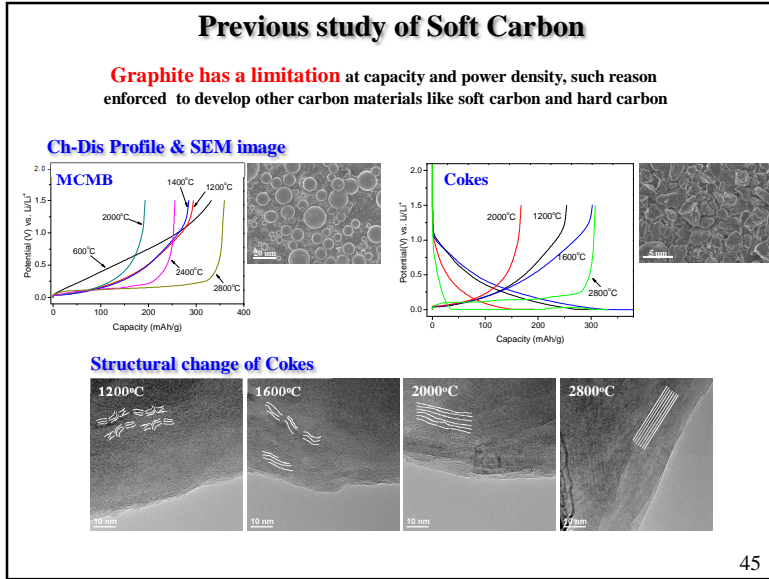


### Structural Hierarchy in Mesophase Pitch



### MCMB

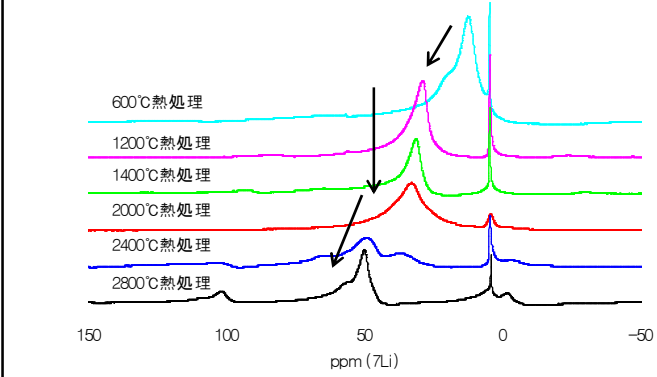
Lot		容量(mAh/g, 0~1.5V)			Deap. (0~0.5V)	低電圧特性 (0.5V/1.5V)(%)
		1cy	2cy	3cy		
600°C 熱処理	ch	1497	440	368	124	38.5
	dis	396	342	321		
	効率(%)	26.5	77.6	87.2		
1200°C 熱処理	ch	393	308	303	197	67.1
	dis	303	299	294		
	効率(%)	77.2	96.9	97.1		
1400°C 熱処理	ch	359	295	289	198	69.7
	dis	291	288	284		
	効率(%)	81.1	97.6	98.3		
2000°C 熱処理	ch	227	198	194	159	83.0
	dis	196	193	191		
	効率(%)	86.1	97.6	98.4		
2400°C 熱処理	ch	298	262	259	238	93.2
	dis	258	258	256		
	効率(%)	86.6	98.5	98.8		
2800°C 熱処理	ch	426	364	360	344	96.5



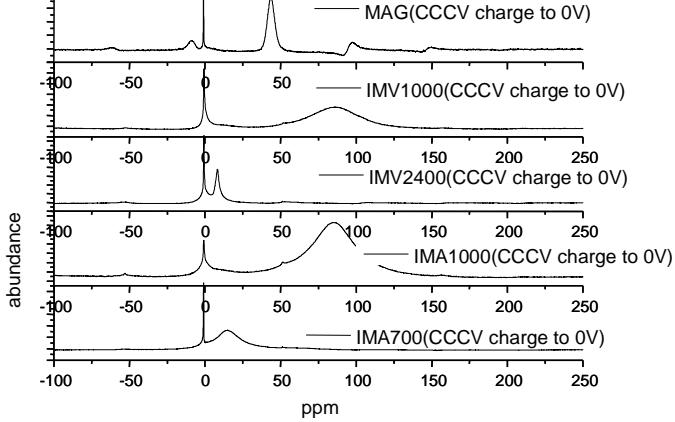
### MCMB

	$d_{002}$ (Å)	Lc002 (nm)
As cast	3.4945	3.1
600°C 熱処理	3.5138	3.1
1200°C 熱処理	3.5278	4.1
1400°C 熱処理	3.4876	6.8
2000°C 熱処理	3.4280	35.44
2400°C 熱処理	3.3887	53.70
2800°C 熱処理	3.3628	122.0

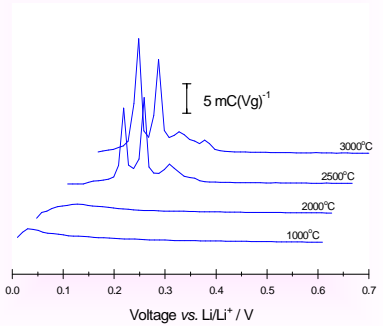
### MCMB



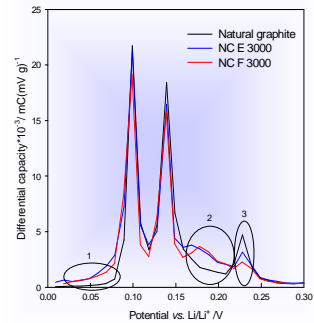
### Li-NMR of Various Carbons



### Discharging EVS Profiles of NC E Series



### Discharging EVS Profiles of natural and synthetic graphites

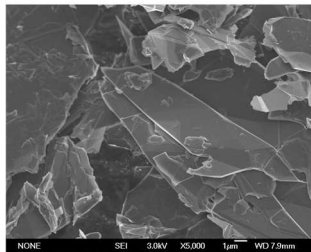


### Roles of Carbon for Anode of Li-ion Batteries

- Anodic Electrode to Hold Reduced Li-ion  
Intercalation  $\rightarrow$  Graphite  
Surface Electron Transfer into Sealed Void  
 $\rightarrow$  Hard or Low Temperature  
Calcined Carbon
- Electron Conductive Material  
Anodic Carbon and Cathode Material
- Expansion Moderator  
Holding and Release of Ion Is Accompanied with Volumetric Change  
Larger Capacity per Volume  $\rightarrow$  Larger Expansion
- Moderation and Control of SEI  
Irreversible Charge  $\rightarrow$  Surface Coating, Composite Structure

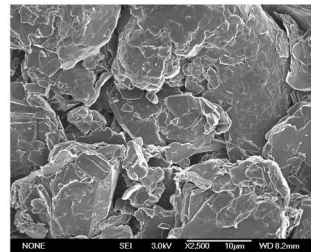
### Typical Graphites

Natural Graphites



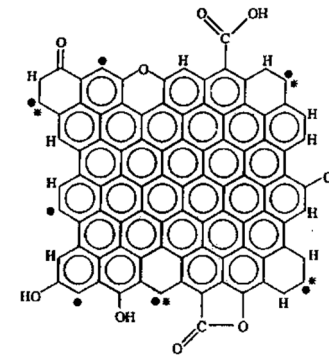
- Cheap
- High graphitization degree
- Large Irreversible Capacity
- Relatively poor Cycle Life
- Poor Rate Capability

Synthetic Graphites



- Good 1<sup>st</sup> cycle efficiency & Cycle Life
  - Relatively high graphitization degree
  - Poor Rate Capability
- (MAG; Hitachi Chemical Co.)

### Surface Oxygen Functional Groups of AC



This structure is representative of an activated carbon with a crystallite width of 15 Å and an elemental analysis (by weight) of 87.5% C, 11.3% O, 1.2% H, ● represents an unpaired  $\sigma$  electron; ●\* represents an "in-plane  $\sigma$  pair" with \* being a localized  $\pi$  electron, (Radovic)

### SEM & TEM Images of PCNF Series

Ref.) S. Lim, et al., *J. Phys. Chem. B* 108 (5), 1533–1536 (2004)

According to the graphitization degree,  
we found some difference at edge plane by TEM analysis

### Basic study of solid electrolyte interphase (SEI)

#### Characteristics of SEI

- Reduction of electrolyte components on anodes on initial charge
- ➔ Irreversible capacity loss
- ➔ Decrease of first-cycle coulombic efficiency
- Passage of Li-ion migration, but high electronic resistivity
- Essential to determine the electrochemical properties and safety of Li-ion battery

< Schematic model of SEI formed on anodes >

#### Previous researches on SEI

> Focused on SEI formation behavior of cross section of HOPG

However, the cross section of HOPG was composed of edge planes and basal planes.

Necessary to prepare well-defined edge and basal surfaces

Study on SEI formation behavior on well-defined edge and basal surfaces prepared by carbon nanofibers as a model material.

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### Preparation of PCNFs with well-defined surfaces

#### PCNF : Edge surface

- Fe catalyst
- CO:H<sub>2</sub> = 4:1 (total 2 L/min)
- 640°C, 4 h

#### PCNF-G : Basal

- 2800°C, 10 min

#### PCNF-B-G : Basal

- Ball-mill of PCNF with boric acid (5 wt% boron)
- 2800°C, 10 min

#### PCNF-G-NA : Edge

- 10 wt.% HNO<sub>3</sub>
- 155°C, 28 h

#### PCNF-B-G-NA : Edge

- 10 wt.% HNO<sub>3</sub>
- 155°C, 28 h

PCNF : Platelet carbon nanofibers  
\* S. Lim et al., *J. Phys. Chem. B* 108 (2004) 1533.

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### TEM images of PCNFs with well-defined surfaces

#### PCNF : Edge

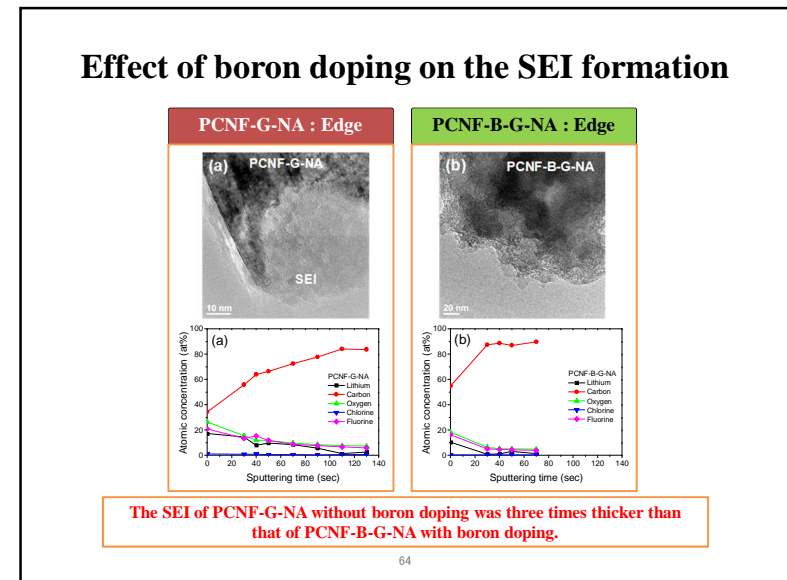
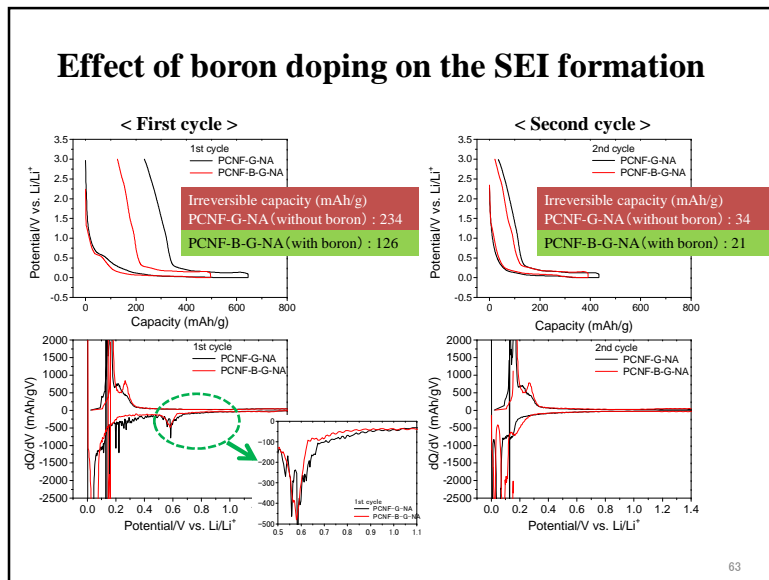
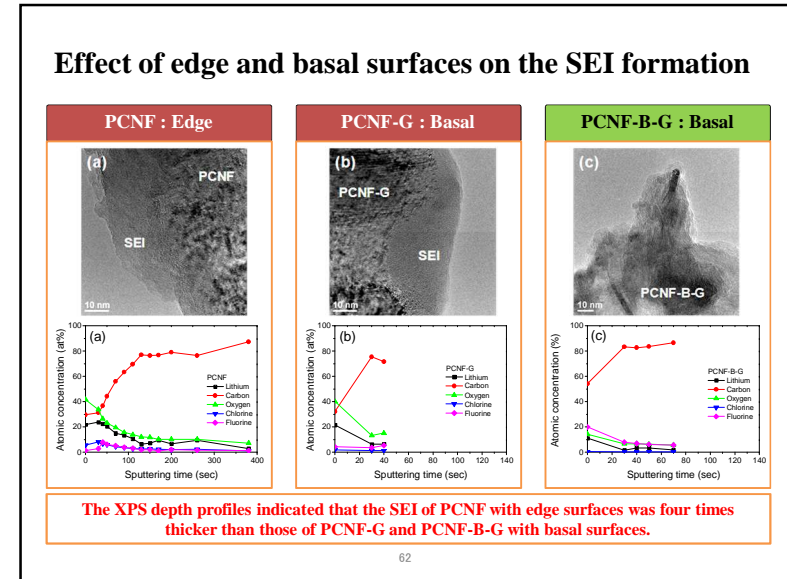
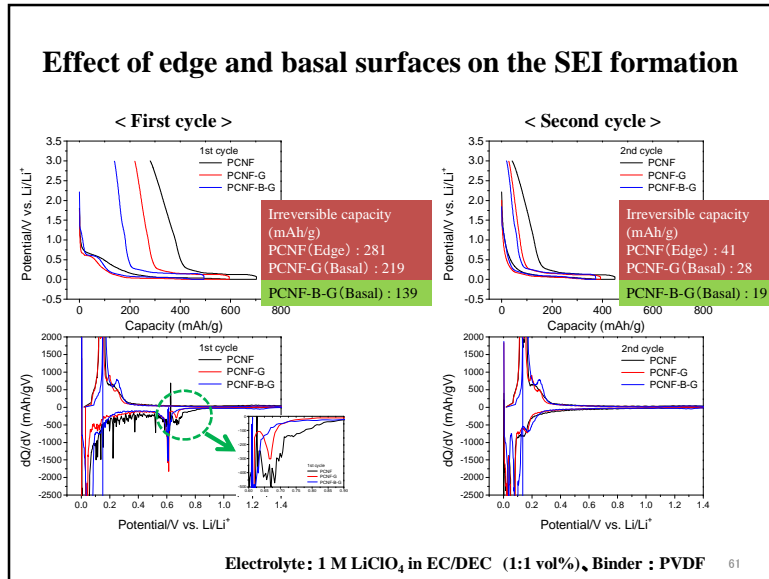
#### PCNF-G : Basal

#### PCNF-B-G : Basal

#### PCNF-G-NA : Edge

#### PCNF-B-G-NA : Edge

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## Explosion accident of Li-ion battery for EV (GM) 2012,04,12



**GM Worker Injured After Lithium-Ion  
Battery Explodes**

